As a below named inventor	As a below named inventor, it by declare that.			1	
•	•			<u> </u>	
My residence, post office add	res_ and citizenship are as stated bel	low next to my man	76.		
I believe I am the onginal, firs	t and sole inventor (if only one name	is listed below) or a	en original, fil	rst and joint inven	or (i
names are listed below) of the	subject matter which is claimed and	Tor which a patent	is sought on	the invention enti	190
	TE (V) = 3 · · · · · · · · · · · · · · · · · ·	201 100-035		, the specification):: O
is attached hereto unless the					
	as United States App				
Number	and was amended on			(if applicable)	
· · · · · · · · · · · · · · · · · · ·	wed and understand the contents of t	the above identified	d specification	n, including the cl	aims
amended by any amendment	referred to above." lose information which is material to p	atamentiin, aa dafi	ood in This 2	7. Codo of Codos	_1.
Regulations, § 1.56.	iose information which is material to p	atemaphry as cen	uedin ine 3	s7, Code of Federa	2 1 -
	enefits under Title 35, United States	Code 6119 of em	domina anal	lication/e) for note	nt o
inventor's certificate listed belo	w and have also identified below any	foreign application	for patent or	r inventors certific	ate
having a filing date before that	of the application on which priority is	claimed.	perent of		₩.O
Prior Foreign Application(s)				Priority	, CI-
i nor Foreign Application(s)					
(Number)	(Country)	(Day/Month/Ye	er Filed)		יי
, , , , , , , , , , , , , , , , , , , ,	*	(,	☐ Yes	
(Number)	(Country)	(Day/Month/Ye	ar Filed)		
			<u> </u>	[] Yes	
(Number)	(Country)	(Day/Month/Ye	ur Filed)		
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the n	Title 35, United States Code, § 120 of the claims of this application is not disported as a United States Code, § 112, and in Title 37, Code of Federal Regulational or PCT international filing dates	sclosed in the prior . I acknowledge the stions, § 1.56 which	United State duty to disc became ava	es application in the close information wailable between the	hict hict e fil
as the subject matter of each of provided by the first paragraph of material to patentability as defin-	the claims of this application is not di of Title 35, United States Code, § 112 ed in Title 37, Code of Federal Regula	sclosed in the prior . I acknowledge the stions, § 1.56 which	United State duty to disc became ava	es application in the	hict hict e fil
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the n	the claims of this application is not dis of Title 35, United States Code, § 112, ed in Title 37, Code of Federal Regula attional or PCT international filing date	sclosed in the prior . I acknowledge the stions, § 1.56 which	United States duty to disc to became ave	es application in the close information wailable between the patented, pending, a	hici hici e fil
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the no (Application Number) (Application Number)	the claims of this application is not dis of Title 35, United States Code, § 112, ed in Title 37, Code of Federal Regula actional or PCT international filing date (Filing Date)	sclosed in the prior , I acknowledge the stions, § 1.56 which of this application	United States duty to disc to became ave (Status - p	es application in the close information wailable between the patented, pending, a patented, pending, a	e fili
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the notation (Application Number) (Application Number)	the claims of this application is not dis of Title 35, United States Code, § 112, ed in Title 37, Code of Federal Regulational or PCT international filing date (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute	sclosed in the prior , I acknowledge the stions, § 1.56 which of this application	United States duty to disc to became ave (Status - p	es application in the close information wailable between the patented, pending, a patented, pending, a	e fili
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the notation (Application Number) (Application Number) I hereby appoint the following att Patent and Trademark Office cor	the claims of this application is not dis of Title 35, United States Code, § 112, ed in Title 37, Code of Federal Regulational or PCT international filing date (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute	sclosed in the prior , I acknowledge the stions, § 1.56 which of this application	United States duty to disc to became ave (Status - p	es application in the close information wailable between the patented, pending, a patented, pending, a	e fili
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the notation (Application Number) (Application Number) I hereby appoint the following att Patent and Trademark Office cor	the claims of this application is not display the claims of this application is not display the states Code, § 112, and in Title 37, Code of Federal Regulational or PCT international filing date (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute needed therewith:	sclosed in the prior , I acknowledge the stions, § 1.56 which of this application this application an	United States duty to disc to became ave (Status - p (Status - p d to transact	es application in the close information wailable between the patented, pending, a patented, pending, air all business in the	band
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the normal (Application Number) (Application Number) (Application Number) I hereby appoint the following attempt and Trademark Office con the prior application and the normal patent and Trademark Office con the prior and the prior application at the prior and the prior application application and the prior application application application and the prior application applicati	the claims of this application is not display the claims of this application is not display the states Code, § 112, and in Title 37, Code of Federal Regulational or PCT international filing date (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute the state of th	sclosed in the prior , I acknowledge the stions, § 1.56 which of this application this application an	United States duty to disc to became ave (Status - p (Status - p d to transact	es application in the close information wailable between the patented, pending, a patented, pending, a	banc
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the notation (Application Number) (Application Number) I hereby appoint the following att Patent and Trademark Office cor	the claims of this application is not display the claims of this application is not display the states Code, § 112, and in Title 37, Code of Federal Regulational or PCT international filing date (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute needed therewith:	sclosed in the prior , I acknowledge the stions, § 1.56 which of this application this application an	United States duty to disc to became ave (Status - p (Status - p d to transact	es application in the close information wailable between the patented, pending, a patented, pending, air all business in the	banc
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the normal (Application Number) (Application Number) (Application Number) I hereby appoint the following attempt and Trademark Office con the prior application and the normal patent and Trademark Office con the prior and the prior application at the prior and the prior application application and the prior application application application and the prior application applicati	the claims of this application is not display the claims of this application is not display the states Code, § 112, ed in Title 37, Code of Federal Regulational or PCT international filing date (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute the state of the	sclosed in the prior , I acknowledge the stions, § 1.56 which of this application this application an	United States duty to disc to became ave (Status - p (Status - p d to transact	es application in the close information wailable between the patented, pending, a patented, pending, air all business in the	banc
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the normal (Application Number) (Application Number) (Application Number) I hereby appoint the following attempt and Trademark Office con the prior application and the normal patent and Trademark Office con the prior and the prior application at the prior and the prior application application and the prior application application application and the prior application applicati	the claims of this application is not display the claims of this application is not display the states Code, § 112, ed in Title 37, Code of Federal Regulational or PCT international filing date (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute the state of the	sclosed in the prior , I acknowledge the stions, § 1.56 which of this application this application an	United States duty to disc to became ave (Status - p (Status - p d to transact	es application in the close information wailable between the patented, pending, a patented, pending, air all business in the	band
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the no (Application Number) (Application Number) I hereby appoint the following attempt and Trademark Office con the prior application and the normal patent and Trademark Office con the prior application to the Address all telephone calls to the Address all correspondence to the provided the provided the prior application and the prior application application application and the prior application application and the prior application application and the prior application	the claims of this application is not display the claims of this application is not display the states Code, § 112, ed in Title 37, Code of Federal Regularizational or PCT international filing date (Filing Date) (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute innected therewith:	sclosed in the prior, I acknowledge the stions, § 1.56 which of this application this application and the stielephone number of the stielephone numb	(Status - p	es application in the close information wailable between the patented, pending, a patented, pending, at all business in the	banco
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the normal (Application Number) (Application Number) I hereby appoint the following att Patent and Trademark Office con the prior application and the normal patent and Trademark Office con the prior and the prior application and the following att Patent and Trademark Office con the prior and the prior application applicati	the claims of this application is not display the claims of this application is not display the states Code, § 112, ed in Title 37, Code of Federal Regularizational or PCT international filing date (Filing Date) (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute therewith:	at telephone number true and that a	United State duty to disc became ave (Status - p (Status - p d to transact	es application in the close information wailable between the patented, pending, a patented, pending, air all business in the commande on information in the commande on information in the close and the commande on information in the close are all business in the close are all	bances
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the normal (Application Number) (Application Number) I hereby appoint the following att Patent and Trademark Office con the prior application and the normal patent and Trademark Office con the prior and the prior application at the following att Patent and Trademark Office con the prior and the prior application and the prio	the claims of this application is not display the claims of this application is not display the states Code, § 112, ed in Title 37, Code of Federal Regularizational or PCT international filing date (Filing Date) (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute therewith: Conett Code State	at telephone number true and that a sade with the knowle	United States duty to disc became average (Status - p (Status - p d to transact	es application in the close information wailable between the patented, pending, a patented, pending, air all business in the commande on information in the commande on information of the commande on information in the	bank
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the notation (Application Number) (Application Number) I hereby appoint the following att Patent and Trademark Office con Address all telephone calls to Address all correspondence to the pelief are believed to be true; and ke so made are punishable by first	the claims of this application is not display the claims of this application is not display the states Code, § 112, ed in Title 35, United States Code, § 112, ed in Title 37, Code of Federal Regularizational or PCT international filling date (Filing Date) (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute therewith: Connett Connett	at telephone number true and that a sade with the knowledge the stions, § 1.56 which a of this application and the sade with the knowletion 1001 of Title	United States duty to disc became average (Status – p (Status – p d to transact	es application in the close information wailable between the patented, pending, a patented, pending, a all business in the close on information of the close statemented States Code a	bank
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the notation (Application Number) (Application Number) (Application Number) I hereby appoint the following attempt and Trademark Office contained to the prior application and the notation of the prior application of the prior application and the notation of the prior application and the notation and the notation of the prior application and the notation of the prior application and the notation and the not	the claims of this application is not display the states of Title 35. United States Code, § 112, ed in Title 37. Code of Federal Regularitional or PCT international filing date (Filing Date) (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute therewith: Chart Chart State Stat	act telephone number true and that a sade with the knowledge the same true and that a sade with the knowledge or any patent issues.	United States duty to disc became average (Status – p (Status – p d to transact	es application in the close information wailable between the patented, pending, a patented, pending, air all business in the close on information of the close statemented States Code a	bancobancobancobancobancobancobancobanco
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the notation (Application Number) (Application Number) (Application Number) I hereby appoint the following attempt and Trademark Office con a contract of the prior application and the notation of the prior application and the notation of the prior application and the notation of the prior application and the prior application of the prior application and the notation and the notation and the notation application and the notation and the	the claims of this application is not display the claims of this application is not display the states Code, § 112, ed in Title 35, United States Code, § 112, ed in Title 37, Code of Federal Regularizational or PCT international filling date (Filing Date) (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute therewith: Connett Connett	are true and that a aide with the knowletton and the sapplication and th	United States duty to disc became average (Status – p (Status – p d to transact	es application in the close information wailable between the patented, pending, a patented, pending, a all business in the close on information of the close statemented States Code a	bance bance
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the notation (Application Number) (Application Number) (Application Number) I hereby appoint the following attempt and Trademark Office conditions all telephone calls to address all correspondence to a hereby declare that all statement belief are believed to be true; and the so made are punishable by first the property of the property signature.	the claims of this application is not display the claims of this application is not display the states code, § 112, and in Title 37, Code of Federal Regularities and the state of Filing Date) (Filing Date)	actions in the prior is acknowledge the stions, § 1.56 which is of this application and this application and the stight is action 1001 of Title on or any patent is a second control of the stiph or any patent is a	United States duty to disc to became average (Status - p d to transact mber	es application in the close information wailable between the patented, pending, a patented, pending, a all business in the close on information of the close statemented States Code a	bance bance
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the material to patentability as defin of the prior application and the material (Application Number) (Application Number) I hereby appoint the following att Patent and Trademark Office con Address all telephone calls to Address all correspondence to the patental of the prior and ke so made are punishable by fir uch willful false statements may followed the patental of the	the claims of this application is not display the states of Title 35. United States Code, § 112, ed in Title 37. Code of Federal Regularitional or PCT international filing date (Filing Date) (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute therewith: Chart Chart State Stat	are true and that a aide with the knowletton and the sapplication and th	United States duty to disc to became average (Status - p d to transact mber	es application in the close information wailable between the patented, pending, a patented, pending, a all business in the close on information of the close statemented States Code a	bancobancobancobancobancobancobancobanco
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the notation (Application Number) (Application Number) (Application Number) I hereby appoint the following attempt and Trademark Office conditions all telephone calls to address all correspondence to a hereby declare that all statement belief are believed to be true; and the so made are punishable by first the property of the property signature.	the claims of this application is not display the claims of this application is not display the states are code, § 112, and in Title 35, United States Code, § 112, and in Title 37, Code of Federal Regularities and the code of Federal Regularities and the code of Filing Date) (Filing Date)	actions in the prior is acknowledge the stions, § 1.56 which is of this application and this application and the stight is action 1001 of Title on or any patent is a second control of the stiph or any patent is a	United States duty to disc to became average (Status - p d to transact mber	es application in the close information wailable between the patented, pending, a patented, pending, a all business in the close on information of the close statemented States Code a	bancoband
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the material to patentability as defin of the prior application and the material (Application Number) (Application Number) (Application Number) I hereby appoint the following attempt and Trademark Office conditions all telephone calls to 1. Address all correspondence to 2. Address all correspondence to 3. Address all correspondence to 3. Address all statements and ke so made are punishable by first such willful false statements may be such willful false statements may be such willful false statements may be such willful false statements and such willful false statements may be such willful false statements and such willful false statements and such willful false statements may be such willful false statements and such willful false statements and such willful false statements and such will false statements and such	the claims of this application is not display the claims of this application is not display the states code, § 112, and in Title 35, United States Code, § 112, and in Title 37, Code of Federal Regularizational or PCT international filing date (Filing Date) (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute therewith: 1. Chart 1. State Apt (1) 1. Chart 1. State Apt (1) ts made herein of my own knowledge of further that these statements were more or imprisonment, or both, under Selection (given name, family name) 1. Chart 1. C	are true and that a acte with the knowletton 1001 of Title on or any patent iss Citizenship	United States duty to disc to became average (Status - p) d to transact mber	es application in the close information wailable between the patented, pending, a patented, pending, a all business in the close on information of the close statemented States Code a	bancoband
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the notation (Application Number) (Application Number) (Application Number) I hereby appoint the following attempt and Trademark Office conditions all telephone calls to a condition and the notation and the nota	the claims of this application is not display the claims of this application is not display the states are code, § 112, ed in Title 35, United States Code, § 112, ed in Title 37, Code of Federal Regularizational or PCT international filing date (Filing Date) (Filing Date) (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute the center of the prosecute of the pr	are true and that a acte with the knowletton 1001 of Title on or any patent iss Citizenship	(Status - p (Status - p (Status - p d to transact which is the unit of the Un	es application in the close information wailable between the patented, pending, a patented, pending, a lall business in the close statement the states code a	bancoband
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the notation (Application Number) (Application Number) (Application Number) I hereby appoint the following attempt and Trademark Office conditions all telephone calls to a condition and the notation and the nota	the claims of this application is not display the claims of this application is not display the states Code, § 112, ed in Title 35, United States Code, § 112, ed in Title 37, Code of Federal Regularizational or PCT international filing date (Filing Date) (Fili	act telephone number of the with the sapplication and the sapplication 1001 of Title on or any patent is sapplication 1001 of Title on or any patent is sapplication 1001 of Title on or any patent is sapplication 1001 of Title on or any patent is sapplication 1001 of Title on or any patent is sapplication.	United States duty to disc to became average (Status - p) d to transact mber	es application in the close information wailable between the patented, pending, a patented, pending, a close all business in the close a close	bancoband
as the subject matter of each of provided by the first paragraph of material to patentability as defin of the prior application and the notation (Application Number) (Application Number) (Application Number) I hereby appoint the following attempt and Trademark Office conditions all telephone calls to a condition and the notation and the nota	the claims of this application is not display the claims of this application is not display the states are code, § 112, ed in Title 35, United States Code, § 112, ed in Title 37, Code of Federal Regularizational or PCT international filing date (Filing Date) (Filing Date) (Filing Date) (Filing Date) orney(s) and/or agent(s) to prosecute the center of the prosecute of the pr	are true and that a are true and that a sade with the knowledge the condition of this application and the condition 1001 of Title condition 1001 of Ti	(Status - p (Status - p (Status - p d to transact which is the Unit is the Un	es application in the close information wailable between the patented, pending, a patented, pending, a close all business in the close a close	bancoband

DECLARATION

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Sec. 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Sec. 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)(if any)			Priority Cla	Priority Claimed		
	<u> </u>		. E 1	[]		
(Serial Number)	(Country)	(Day, Month	(Yes)	(No)		
		Year filed)				

I hereby claim the benefit under Title 35, United States Code, Sec. 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Sec. 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Sec. 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application serial (Filing date) (Status-, patented, number) pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor

Kennett KIRON

Inventor's signature	Date 10/195	
Residence 301 West 57th	4-#1707 NM YM/10	019
Citizenship	_	
Post Office Address		
(Supply similar informati	ion and signature of second a	nd subsequent inventors.)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application Of: Kenneth Kiron and Kevin S. Bander)
Application No. 09/140,868) Group Art Unit: 2761
Filed: August 27, 1998) Examiner S. Tkacs
For: Open End Mutual Fund Securitization Process	'
Assistant Commissioner For Patents Washington, D.C. 20231	

ASSOCIATE POWER OF ATTORNEY (37. C.F.R. 1.34)

Please recognize as Associate Practitioner in this case:

Edward L. Bishop, Reg. No. 39,110 Wallenstein & Wagner, Ltd. 311 South Wacker Drive, 53rd Floor Chicago, Illinois 60606-6622 Ph. 312.554.3300 Fax 312.554.3301

> Stephen B. Heller, Reg. No. 30,181 Cook, Alex, McFarron, Manzo,

Cummings & Mehler, Ltd.

200 West Adams Street, Suite 2850

Chicago, Illinois 60606

Ph. 312.236.8500

CERTIFICATE OF MAILING (37 C.F.R. § 1.82)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on

Kathleen Rundquist/80887.1

	NENT CLAIMING SMALL ENTITY (c))SMALL BUSINESS CONC	
Applicant or Patentce:	MOPEKIINC.	
Serial or Patent No.:		
Filed of Issued: Tulk: 10 V F 1 J E 1 J 1	MUTUAL SUND SEC-RITEZATE	or PROCESS
RKC		, , , ,
	business concern identified below: business concern empowered to act on behalf of the a	concern identified below:
NAME OF SMALL BUSI	VESS CONCERN MOPELINC	
ADDRESS OF SMALL B	ISINESS CONCERN 309 West 5.1t	5て、井170千
	NA NA 10011	
of employees of the concern, is of employees of the business of part-time or temporary basis of directly or indirectly, one concept.	f). For purposes of paying reduced fees to the United Sticluding those of its affiliates, does not exceed 500 per oncern is the average over the previous fiscal year of uring each of the pay periods of the fiscal year, and (m controls or has the power to control the other, or a thing.	sons. For purposes of this statement, (1) the numbe the concern of the persons employed on a full-time 2) concerns are affiliates of each other when either ad party or parties controls or has the power to control
I hereby declare that right with regard to the invention de	is under contract or law have been conveyed to and rem scribed in:	ain with the small business concern identified above
the specification filed be the application identified the patent identified about		
If the rights held by the	bove identified small business concern are not exclusi	
by any person, other than the	separate verified statements averring to their status as a ventor, who would not qualify as an independent invaich would not qualify as a small business concern und	small entities, and no rights to the invention are held entor under 37 CFR 1.9(c) if that person made the
by any person, other than the invention, or by any concern w 37 CFR 1.9(e). Each person, concern or no such person, concern or no such person, concern	separate verified statements averring to their status as a eventor, who would not qualify as an independent invision would not qualify as a small business concern und organization having any rights in the invention is listed	small entities, and no rights to the invention are held entor under 37 CFR 1.9(c) if that person made the er 37 CFR 1.9(d), or a nonprofit organization under
by any person, other than the invention, or by any concern w 37 CFR 1.9(e). Each person, concern or no such person, concern or conc	separate verified statements averring to their status as a seventor, who would not qualify as an independent invaich would not qualify as a small business concern undopendent organization having any rights in the invention is listed or organization exists.	small entities, and no rights to the invention are held entor under 37 CFR 1.9(c) if that person made the er 37 CFR 1.9(d), or a nonprofit organization under
by any person, other than the invention, or by any concern w 37 CFR 1.9(e). Each person, concern or concern or such person, concern each such person, concern concern each such person, concern concern each such person, concern con	separate verified statements averring to their status as a seventor, who would not qualify as an independent invalid would not qualify as a small business concern und organization having any rights in the invention is listed or organization exists. In or organization is listed below.	small entities, and no rights to the invention are held entor under 37 CFR 1.9(c) if that person made the er 37 CFR 1.9(d), or a nonprofit organization under delay.
by any person, other than the invention, or by any concern w 37 CFR 1.9(e). Each person, concern or cach no such person, concern each such person, concern to their status as small entities. I acknowledge the duty to	separate verified statements averring to their status as a seventor, who would not qualify as an independent invalid would not qualify as a small business concern und organization having any rights in the invention is listed or organization exists. In or organization is listed below. In this are required from each named person, concern or organization of any characteristic in this application or patent, notification of any characteristic in the issue fee or any	small entities, and no rights to the invention are held entor under 37 CFR 1.9(c) if that person made the er 37 CFR 1.9(d), or a nonprofit organization under deliberation del
by any person, other than the invention, or by any concern with the invention, or by any concern with the invention, or by any concern with the invention, concern or the invention of the invent	separate verified statements averring to their status as a seventor, who would not qualify as an independent invalid would not qualify as a small business concern und organization having any rights in the invention is listed or organization exists. In or organization is listed below. In this are required from each named person, concern or organization is listed below. If it is application or patent, notification of any chapter that the of paying, the earliest of the issue fee or any propriate. (37 CFR 1.28(b)) Interments made berein of my own knowledge are true and that these statements were made with the knowledge somment, or both, under section 1001 of Title 18 of the solution of the application, any patent issuing thereone.	email entities, and no rights to the invention are held entor under 37 CFR 1.9(c) if that person made the er 37 CFR 1.9(d), or a nonprofit organization under deleter 37 CFR 1.9(d), or a nonprofit organization under deleter 37 CFR 1.9(d), or a nonprofit organization under deleter 37 CFR 1.9(d), or a nonprofit organization under deleter 37 CFR 1.9(d), or a nonprofit organization under deleter 37 CFR 1.9(d), or an another to small under deleter 38 cm and the inventor and belief that willful false statements and the like so made to United States Code, and that such willful false, or any patent to which this verified statement is
by any person, other than the invention, or by any concern w 37 CFR 1.9(e). Each person, concern or no such person, concern or each such person, concern to their status as small entities. I acknowledge the duty to entity status prior to paying, or as a small entity is no longer all thereby declare that all stare believed to be true; and furt are punishable by fine or impostatements may jeopardize the directed. NAME OF PERSON SIGNING	separate verified statements averring to their status as a seventor, who would not qualify as an independent invalid would not qualify as a small business concern und organization having any rights in the invention is listed or organization exists. In or organization is listed below. In this application or patent, notification of any chapter of the insure of paying, the earliest of the issue fee or any propriate. (37 CFR 1.28(b)) Internets made herein of my own knowledge are true and it that these statements were made with the knowledge somment, or both, under section 1001 of Title 18 of the validity of the application, any patent issuing thereone.	email entities, and no rights to the invention are held entor under 37 CFR 1.9(c) if that person made the er 37 CFR 1.9(d), or a nonprofit organization under deleted below: If an invention averring an invention averring the invention averring in the invention averring the invention averring mage in status resulting in loss of entitlement to small maintenance fee due after the date on which status deleted that willful false statements and the like so made the United States Code, and that such willful false, or any patent to which this verified statement is
by any person, other than the invention, or by any concern with the invention, or by any concern with the invention, or by any concern with the invention, concern or income and income each such person, concern to their status as small entities. I acknowledge the duty to entity status prior to paying, or as a small entity is no longer at the entity is no longer at a small entity is no longer at a small entity is no longer at are believed to be true; and further the entity is no longer at a small entity is no longer at a sm	separate verified statements averring to their status as a seventor, who would not qualify as an independent invalid would not qualify as a small business concern und programization having any rights in the invention is listed or organization exists. In or organization is listed below. In this are required from each named person, concern or organization is listed below. In this application or patent, notification of any chart the time of paying, the earliest of the issue fee or any propriate. (37 CFR 1.28(b)) Interments made berein of my own knowledge are true and the statements were made with the knowledge somment, or both, under section 1001 of Title 18 of the validity of the application, any patent issuing thereon. Than OWNER	email entities, and no rights to the invention are held entor under 37 CFR 1.9(c) if that person made the er 37 CFR 1.9(d), or a nonprofit organization under detailed below: If ganization having rights to the invention averring the instance of the invention averring that it is status resulting in loss of entitlement to small maintenance fee due after the date on which status detail that all statements made on information and belief that willful false statements and the like so made the United States Code, and that such willful false, or any patent to which this verified statement is
by any person, other than the invention, or by any concern with the invention, or by any concern with the invention, or by any concern with the invention, concern or income and	separate verified statements averring to their status as a seventor, who would not qualify as an independent invalid would not qualify as a small business concern und organization having any rights in the invention is listed or organization exists. In or organization is listed below. In this application or patent, notification of any chapter of the insure of paying, the earliest of the issue fee or any propriate. (37 CFR 1.28(b)) Internets made herein of my own knowledge are true and it that these statements were made with the knowledge somment, or both, under section 1001 of Title 18 of the validity of the application, any patent issuing thereone.	email entities, and no rights to the invention are held entor under 37 CFR 1.9(c) if that person made the er 37 CFR 1.9(d), or a nonprofit organization under details and the low: If ganization having rights to the invention averring the instatus resulting in loss of entitlement to small maintenance fee due after the date on which status detail statements made on information and belief that willful false statements and the like so made the United States Code, and that such willful false, or any patent to which this verified statement is If incipal

MAIL	O (37 CFR 1.9(f) & 7(b))INDEPENDENT	ENTITY STATUS	Docket Number (Optional)
1995	Segnicant or Patentee: Kenreth Kiron		
COEMAR	Serial or Patent No.:		
Start Start	Filed or Issued: Title: Open end Mytol End S	Revidizatia Prova	a
ij.			. :
the first that the fi	As a below named inventor, I hereby declare that I qualify a purposes of paying reduced fees to the Patent and Trademark	s an independent inventor a k Office described in:	s defined in 37 CFR 1.9(c) for
	the specification filed berewith with title as listed about	ove.	
	the application identified above.		
	the patent identified above.		•
	I have not assigned, granted, conveyed or licensed and am un convey or license, any rights in the invention to any person of CFR 1.9(c) if that person had made the invention, or to any concern under 37 CFR 1.9(d) or a nonprofit organization under	who would not qualify as an concern which would not qu	independent inventor under 37
	Each person, concern or organization to which I have assigne tion under contract or law to assign, grant, convey, or license		
i	No such person, concern, or organization exists.	•	•
٠.	Each such person, concern or organization is listed	below.	•
		_	
	Separate verified statements are required from each named po- tion averring to their status as small entities. (37 CFR 1.27)	erson, concern or organization	on having rights to the inven-
	I acknowledge the duty to file, in this application or patent, ne entitlement to small entity status prior to paying, or at the time nance fee due after the date on which status as a small entity in	e of paying, the earliest of the	ne issue fee or any mainte-
	I hereby declare that all statements made herein of my own to tion and belief are believed to be true; and further that these s statements and the like so made are punishable by fine or imp United States Code, and that such willful false statements may issuing thereon, or any patent to which this verified statement	tatements were made with the risonment, or both, under se y jeopardize the validity of the is directed.	ne knowledge that willful faise ction 1001 of Title 18 of the
1		<i>Ider</i>	
	NAME OF INVENTOR NAME OF INVENTOR	NAME OF D	VENTOR
	Signature of inventor Signature of inventor	Signature of	inventor
	Date Date	Date	

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37

As a below named inventor, I hereby declare that I qualify as an independent inventor
as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under Section 41(a) and (b
of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled Open and Model For described in the specification filed herewith
[] application serial no, filed
I have not assigned, granted, conveyed or licersed and am under no obligation under
contract or law to assign, grant convey or license, any rights in the invention to any person
who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person
nad made the invention, or to any concern which would not qualify as a small business
concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR' P.(e).
Each person, concern, or organization to which I have assigned, granted, conveyed, or
icensed or am under an obligation under contract or law to assign, grant, convey, or license
my right in the invention is listed below:
] no such person, concern, or organization
persons, concerns, or organizations listed below*
Note: Separate verified statements are required from each named person, concern, or
rganization having rights to the invention averring to their status as small entities. (37 CFR
.27)
ULL NAME MOREXITAC.
DDRESS 309 West 5175 54 #1707 []Individual MSmall business
N'7, NY 100 19 []nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fees due after the date on which status as a small entity is no longer appropriate. (37 CFR 128(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon, or any patent to which this verified statement is directed.

Full name of sole or first Kenneth KIR	inventor ON
Inventor's signature	Date 10/10/95

Notary Public.

ASSIGNMENT

WHEREAS, WE, Kenneth Kiron and Kevin S. Bander, ASSIGNORS, citizens of the United States of America, residing at 309 West 57th Street. Suite 1707, New York, New York 10019; and 1 East Delaware Street, Apt. 9K, Chicago, Illinois 60611, respectively, are the inventors of the invention in

OPEN END MUTUAL FUND SECURITIZATION PROCESS
for which we have executed an application for a Patent of the United States
☐ which is executed on ☐ even date herewith or ☐
which is identified by Pennie & Edmonds LLP docket no. 9276-003-999 which was filed on December 12, 1995, Application No. 08/542,431
and WHEREAS, MOPEX INC., ASSIGNEE, having a place of business at 309 West 57th Street, Suite 1707, New York, New York 10019, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof:
AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.
AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.
AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.
IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.
Date
State of New York) SS.: County of New York)
On this day of TUDE, 1997, before me, a Notary Public in and for the State and County aforesaid, personally appeared Kenneth Kiron
to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

JEFFREY J. HOLLANDER Notary Public, State of New York No. 41-4968166 Qualited in Queens County 40 Commission Expires 6/6

\$ 10
4
1,12
æ
113
FE
ũ
ŀŵ

Date (/10/97	, 1997 Kevin	S. Bander	Ranter L.S.
State of IL)			
County of (call)	SS.:		
County aforesaid, personally	y of <u>rec</u>	ander	Notary Public in and for the State and
to me known and known to racknowledged the same to be	me to be the person of	that name, who signed and se	aled the foregoing instrument, and he
		Many A Jay	idan.
			Notary Public.

MARY J JORDAN
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES:01/16:00 OFFICIAL SEAL